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UNITED STATES PATENT AND TRADEMARK OFFICE

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 United States Patent and Trademark Office  
 Washington, D.C. 20231  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/070,536	Kazutaka Shibata	ROH-051

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/JP00/06035

I.A. FILING DATE	PRIORITY DATE
09/06/2000	09/09/1999

 Rader Fishman & Grauer  
 1233 20th Street N W  
 Suite 501  
 Washington, DC 20036

CONFIRMATION NO. 5552

371 FORMALITIES LETTER



\*OC00000008032132\*

Date Mailed: 05/07/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - The inventor on the unexecuted declaration shows a different name than on the international application.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE

OF THIS NOTICE OR BY 22 r 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

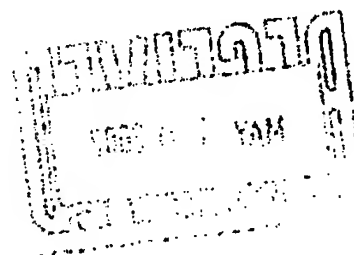
JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,536	PCT/JP00/06035	ROH-051

FORM PCT/DO/EO/905 (371 Formalities Notice)



371  
-1412

Practitioner's Docket No. ROH-051

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Nationalization application of:  
PCT /JP00/06035

Kazuhisa SAKAMOTO

Confirmation No. 5552

Serial No.: 10/070,536

Group No.: unassigned

Filed: March 7, 2002

Examiner: unassigned

For: SEMICONDUCTOR DEVICE

Attention: Office of Initial Patent Examination's  
Customer Service Center

Commissioner for Patents  
Washington, D.C. 20231

**Request for Corrected and Fee to Delete and/or  
Add to Original Erroneously Named or Not Named Inventor(s) in Declaration**

1. Attached is a copy of the Notification of Missing Requirements received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered.

**Error in**

**Correct data**

1. Change Inventor Name:

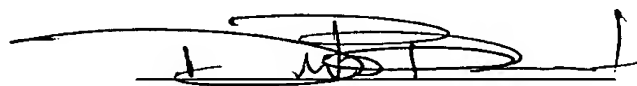
1.

Kazutaka SHIBATA

Kazuhisa SAKAMOTO

3. The correction is due to error by applicant and fee is due. Please charge to Deposit Account No. 18-0013.

Dated: July 2, 2002



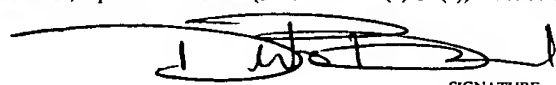
David K. Benson, Reg. No. 42,314  
Rader, Fishman & Grauer, PLLC  
1233 20th Street, N.W., Suite 501  
Tel. No. 202-955-3750  
Customer No.: 23353

#4

10/070536

533 Rec'd PCT/PTO 02 JUL 2002

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER ROH-051
		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/070,536
INTERNATIONAL APPLICATION NO. PCT/JP00/06035	INTERNATIONAL FILING DATE 06/September/2000	PRIORITY DATE CLAIMED 09/September/1999
TITLE OF INVENTION: SEMICONDUCTOR DEVICE		
APPLICANT(S) FOR DO/EO/US Kazuhisa SAKAMOTO		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"><li>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).</li><li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li><li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)<ol style="list-style-type: none"><li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> has been transmitted by the International Bureau</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li><li>7. <input type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li><li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol> <p>Items 11 to 16 below concern either document(s) or information included:</p> <ol style="list-style-type: none"><li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li><li>14. <input type="checkbox"/> A substitute specification.</li><li>15. <input checked="" type="checkbox"/> Associate Power of Attorney.</li><li>16. <input checked="" type="checkbox"/> Other items or information; Supplemental IDS; Request for Corrected and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration.</li></ol>		

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/070,536</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/06035</b>		ATTORNEY'S DOCKET NUMBER <b>ROH-051</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.49(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO.....  International preliminary examination fee paid to USPTO (37 CFR 1.482)..... No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				CALCULATIONS	PTO USE ONLY
				\$ previously paid	
				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(e)).				\$ 130	
Claims	Number Filled	Number Extra	Rate		
Total Claims	28-20=	8	X \$18	\$	
Independent Claims	1-3=	0	X \$84	\$	
Multiple dependent claim(s) (if applicable)			+ \$280	\$	
<b>TOTAL OF ABOVE CALCULATIONS</b>				=	\$
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	
<b>SUBTOTAL</b>				=	\$ 130
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(f)).				\$	
<b>TOTAL NATIONAL FEE</b>				=	\$ 130
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
<b>TOTAL FEES ENCLOSED</b>				=	\$
07 05/2002 OFREY1 00000057 180013 10070536  01 FC:154 130.00 CH				Amount to be refunded:	\$
				charged:	\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.  b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>18-0013</u> in the amount of \$ <u>130</u> to cover the above fees. A duplicate of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.  <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  <div style="display: flex; justify-content: space-between;"> <div> <b>SEND ALL CORRESPONDENCE TO:</b>  <b>Rader, Fishman &amp; Grauer, L.P.P.C.</b>  <b>1233 20<sup>th</sup> Street, N.W., Suite 501</b>  <b>Washington, DC 20036</b>   <b>Dated: July 2, 2002</b> </div> <div style="text-align: right;">             SIGNATURE   <u>David K. Benson</u>            NAME  <b>42,314</b>            REGISTRATION NUMBER         </div> </div>					